



**ENI  
CBCMED**  
Cooperating across borders  
in the Mediterranean



Programme funded by the  
**EUROPEAN UNION**



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DE SARDIGNA  
REGIONE AUTONOMA  
DELLA SARDEGNA**

# Call for Strategic Projects: Guidelines for Applicants

# Call for Strategic Projects: Main Features

- ✓ Open to 7 Programme's Priorities
- ✓ ENI funds allocated: € 68.518.886,09 M
- ✓ Financial allocation per priority
- ✓ A proposal shall refer to one Priority only
- ✓ Partnership: Min. 4 different organisations (at least 2 EUMC and 2 MPC)
- ✓ Duration: 24 OR 30 Months

# Call for Strategic Projects: Main Features

Thematic Objectives	Priorities	Indicative EU contribution	Co-financing (at least 10% of the total funding)	Total
<b>A.1 Business and SMEs development</b>	A.1.1: Support innovative start-up and recently established enterprises	€ 6.798.008,68	€ 755.334,30	€ 7.553.342,98
	A.1.2: Strengthen and support euro-Mediterranean networks, clusters, consortia and value-chains in traditional sectors and non-traditional sectors	€6.798.008,68	€ 755.334,30	€ 7.553.342,98
<b>A.2 Support to education, research, technological development and innovation</b>	A.2.1: Support technological transfer and commercialisation of research results	€15.240.314,49	€ 1.693.368,28	€ 16.933.682,77
<b>A.3 Promotion of social inclusion and the fight against poverty</b>	A.3.1: Provide young people, especially those belonging to the NEETS, and women, with marketable skills	€12.192.251,59	€ 1.354.694,62	€ 13.546.946,21
<b>B.4 Environmental protection, climate change adaptation and mitigation</b>	B.4.1: Water management - Support sustainable initiatives targeting innovative and technological solutions to increase water efficiency	€ 9.163.434,21	€ 1.018.159,36	€ 10.181.593,57
	B.4.2: Waste treatment and recycling - Reduce municipal waste generation, promote source-separated collection and its optimal exploitation	€ 9.163.434,21	€ 1.018.159,36	€ 10.181.593,57
	B.4.3: Renewable energy and energy efficiency - Support cost-effective and innovative energy rehabilitations relevant to building types and climatic zones, with a focus on public buildings	€ 9.163.434,21	€ 1.018.159,36	€ 10.181.593,57

# Call for Strategic Projects: Aim and Focus

“guarantee **deeper focus** on emerging needs of the cooperation area and support **extended impact** on the selected priorities and clear contribution to **EU and national policies** in the region”.

*JOP, pp133*

# Call for Strategic Projects: Aim and Focus

- ✓ Build **synergies** with the main EU, national and regional policies, and contribute to the **development of new** public policies and policy-relevant innovations.
- ✓ Be **long-lasting** and **far-reaching** effects and fostering significant **change** through
  - Achievement of **sustainable, tangible and replicable results** that last beyond the Programme, and the **transferability** of good practices.
- ✓ Bring together **key public and private actors** at all levels to foster impact, change and policy innovation.
  - Although of the tailored actions to address the specific needs, the widest involvement of the relevant stakeholders/key actors and networking must be ensured.

# Call 1 – Documents (1/2)

Reference document to read to be able to submit a proposal :

- ✓ **Call for proposals**
- ✓ **Guidelines for grant Applicants** to support Applicants in project design and development
- ✓ **Terms of reference**
- ✓ **Joint Operational Programme**
- ✓ **Glossary**, providing definitions for the main concepts and tools
- ✓ **Model of the Grant contract**
- ✓ **Indicative model of Partnership Agreement**
- ✓ **Note on State Aid** and self assessment grid
- ✓ **Specific national provisions**
- ✓ **Note on personal data processing**

# Call 1 – Documents (2/2)

## Documents needed for proposals submission:

**Electronic application Form (eAF) as the ONLY tool for project submission**

## Mandatory documents to be submitted (uploaded) with the eAF:

- ✓ Declaration by the Applicant
- ✓ Partner Statement by the partners
- ✓ International Organisation declaration (as the case may be)
- ✓ Associated partner declaration (as the case may be)
- ✓ State aid self assessment check grid
- ✓ Administrative costs justification

# Admission requirements Chap. 4 Guidelines for Applicants (GfA)

- ✓ **Eligible territories**
- ✓ **Financial dimension**
- ✓ **Specific requirements**
- ✓ **Eligibility of Applicant and Partners**
- ✓ **Eligibility of budget costs**



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# Eligibility of territories (par. 4.1 GfA)

## Countries participating in the Programme:

- **EUMCs:** Cyprus, France, Greece, Italy, Malta, Portugal, Spain.
- **MPCs:** Algeria\*, Egypt, Jordan, Israel, Lebanon, Palestine, Tunisia.

## General rules (par. 4.3.1):

- ✓ Applicants and Partners **must be located in eligible territories** of these countries ONLY (max. 3 from the same country)
- ✓ Partners **may** come also from adjoining regions listed in par. 4.1 but 20% direct costs maximum allocation of budget (see par. 4.3.1)

\* Algeria must still sign the Financing Agreement with the EC. Check updates on the signature at: [www.enicbcmmed.eu](http://www.enicbcmmed.eu)



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# Eligibility of territories (par. 4.1 GfA): activities

**General Rule:** project activities **must be implemented** in eligible territories of the countries represented in the partnership.

## Exceptions for geographical activities implementation:

- ✓ Only if partners coming from adjoining territories are involved, project activities **may** take place in these areas
- ✓ Activities outside the eligible **area allowed on a case by case basis** by considering their added value to be clearly justified in the Application Form.



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# Specific rules geographical eligibility (par. 4.3.1 GfA)

## Participation is allowed with limitations for:

### International Organisations



**only** if having operational offices in eligible area (do not count for min. and max. geographical criteria par. 4.4)

### Ministries and national PAs outside eligible territories participating countries



if added value is demonstrated and activities are implemented through local offices if available

# Specific rules of geographical eligibility (par. 4.3.1 GfA)

**Headquarters out of eligible area but decentralized registered office or structure in the eligible regions**



1) if established **at least 2 years before the deadline** for submission Application Form;

**and**

2) **proved capacity** to undertake legal obligations and assume financial responsibility.

**Applicants and partners based in Lisbon region**



may apply only under the Overarching Objective 1

# Eligibility of Applicants and Partners (4.3.2)

## Participation allowed for entities with legal status of:

- ✓ **Public bodies** (national and local administrations, other public bodies)
- ✓ **Bodies governed by public law** as defined by art. 2(4) of Directive 2014/24/EU (in MPCs those obliged to follow national rules check with NCP)
- ✓ **Private Bodies** (enterprises, NGOs, associations and other no profit organisations, etc.)
- ✓ **International Organisations** with limitations (see above and par. 4.4) set up by intergovernmental agreements and accepting specific programme obligations through a **specific declaration to be submitted**.



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## Participation out of the partnership (4.3.2)

### Entities not acting as Applicants and Partners:

- ✓ **Associates** ➡ organisations involved in the project but **they cannot receive project funding** (travel and subsistence paid by the Applicant or PP) or participate in procurement procedures launched by the project (ex. as subcontractors). No geographical requirements but a specific form to be submitted for proving their involvement.
- ✓ **Subcontractors** ➡ some activities can be outsourced by Applicant and Partners **but not the whole project implementation** (bulk of the project). Applicant and Partners **cannot be sub-contracted** by themselves.
- ✓ **Sub-grantees** ➡ recipients of the sub-grants as **natural or legal persons** resident or established in participating countries applicant and partners (max. 60.000 each for a total of 30% project direct costs).

# Specific Requirements (4.4)

## Conditions to be met:

1. Applicants can submit **only 1 proposal for each priority (alert in e-form)**;
2. **No limitation** for the participation as partner;
3. Max. 3 grants (those highest ranked) financed to same Applicant

**Example:** the same university (considered as ONE entity regardless the independence of different departments) can submit **a maximum of 7 proposals** as Applicant (one per priority) but in the event all 7 pass all the evaluation steps, **only the best 3 will be financed.**

# Financial Dimension

- ✓ Minimum EU contribution → € 2.5 M
- ✓ Maximum contribution → € 3.5 M
- ✓ Maximum total project costs → € 4.0 M

**BUT**

Max EU contribution **90%** of the total eligible costs.

Min. co-financing **10%** of the total eligible costs

## EXAMPLE:

**TOTAL total project costs** € 3.400.000

**ENI contribution:** € 3.000.000 (88%)

**Project Co-financing:** € 400.000 (12%)



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## Specific Financial Requirements (4.4.3)

At least 50% of the total direct costs shall be dedicated to activities to be implemented in MPCs territories either through



- a) allocating **at least 50%** of the budgeted direct costs to MPCs Partners
- or
- b) allocating less than 50% of direct costs to MPCs partners but the EU partners shall justify the difference to reach the said 50% by using their direct costs to implement activities in MPCs

**Example: TOTAL DIRECT COSTS OF THE PROJECT = € 3.0 M**

- a) At least € 1.5 M (50%) directly allocated to MPCs
- b) € 1.2 M (40%) allocated to MPCs and € 0.3 M (10%) managed by EU PPs to implement activities in MPCs ( neither travel nor staff costs allowed!).

## Specific Financial Requirements (4.4.3)

**General Rule:** A maximum of **35%** of the total direct costs can be allocated to a **single organisation**.

Please note that the budget dedicated to Work Package 1 “Management” cannot be higher than **20%** of the total direct costs.

# Call for Strategic Projects: Budget

- ✓ It is in the Applicant's interest to submit a **realistic and cost-effective budget**.

## Eligible costs

In order to be eligible, costs shall meet the following conditions:

- Incurred during the implementation period of the project
- Indicated in the estimated overall budget for the project;
- Necessary for the implementation of the project;
- Identifiable and verifiable
- Comply with the requirements of applicable tax and social legislation;
- Supported by expenditure and payment documents (e.g. contracts, invoices, proofs of payment documents etc.).

# Call for Strategic Projects: Budget

## Ineligible costs

- a. Debts and charges (interest), Loans, fines, and expenses of litigation
- b. Provisions for losses or liabilities;
- c. Purchases of land or buildings for an amount exceeding 10 % of the eligible expenditure of the concerned project;
- d. Exchange-rate losses;
- e. Duties, taxes and charges, including VAT, except where non-recoverable under the relevant national tax legislation, unless otherwise provided in appropriate provisions negotiated with CBC partner countries, as per the provisions of the related Financing Agreement;
- f. Costs for project website and logo (see section 2.6 “Communication and visibility for more information”).

# Call for Strategic Projects: Budget Structure

The Budget of the proposal is divided in **direct and indirect costs**.

## DIRECT COSTS:

- A. PREPARATORY;
- B. HUMAN RESOURCES (STAFF COSTS).
- C. TRAVELS AND SUBSISTENCE COSTS
- D. INFRASTRUCTURES
- E. EQUIPMENT AND SUPPLIES
- F. COSTS OF SERVICES

## INDIRECT COSTS :

- A. ADMINISTRATIVE COSTS FLAT-RATE MAXIMUM OF 7% ESTIMATED TOTAL ELIGIBLE DIRECT COSTS EXCLUDING INFRASTRUCTURES
- B. CONTINGENCY RESERVE MAX 3% OF THE DIRECT ELIGIBLE BUDGETED COSTS.

# Ineligible Proposals (par. 4.7) and Exclusion situations (Par. 4.8)

Project proposals concerning only or mainly the following activities are ineligible:



individual sponsorships for participation in workshops, seminars, conferences, congresses; individual scholarships for studies or training courses etc.

Applicants and PPs will be excluded from the call for proposals or from the grant if:



(check Art. 45 of the ENI IR ) they are bankrupt or being wound up, fraud, corruption, conflict of interest, etc.

**Specific cases:** failure to reimburse sums to the ENPI JMA and/or EC; attempts to obtain confidential information or to influence the selection process

# Documents to be filled in: Application Package

## 1. Application Form, Logical Framework, Budget tables and Financial Plan (grids on MIS)

## 2. Mandatory documents

- Declaration by the Applicant
- Partner Statements
- Associated partner declaration(s), if any,
- International Organisation declaration(s), if any
- Calculation of the administrative costs (both Applicant and partners)
- Financial Capacity Form (both Applicant and partners)
- State Aid self-assessment check grid (both Applicant and PPs)
- Environmental grids A, B and/or C, as the case may be.